



寶通證券亞洲有限公司客戶開戶及交易流程

個人客戶開戶

- (1) 填寫并簽署《客戶協議書》
- (2) 提交護照或身份証複印件
- (3) 提交住址證明，如水、電費單等複印件

公司客戶開戶

- (1) 填寫并簽署《客戶協議書》
 - (2) 通過在本公司開設現金戶口及授權代表人進行買賣事宜的會議記錄
 - (3) 公司組織章程大綱及章程細則及其最後更改的決議案
 - (4) 公司最新的周年申報表及其最後更改的文件
 - (5) 公司商業登記証複印本（如有）
 - (6) 公司註冊成立証複印本
 - (7) 公司董事註冊証複印本
 - (8) 公司會員註冊証複印本
 - (9) 董事或授權代表身份証複印本或護照 複印本
 - (10) 公司最新已審核之財務報告
 - (11) 個人擔保信件
- *所有文件簽妥并加蓋經認證複印本印章
*上述公司客戶須在中國大陸以外註冊

審核客戶資料

同意開戶

不同意開戶

1. 開立客戶專用帳戶
2. 發放客戶帳戶卡
3. 向客戶提交委託電話號碼或互聯網交易私人密碼

客戶向其帳戶存入交易保證金

申請互聯網交易

申請電話委託

登入 www.kcgmarket.com 網站

(1) 撥打寶通證券委託電話號碼

輸入用戶名稱及登入密碼

(2) 告知帳號、姓名

(3) 發出買賣指令

查閱行情、資料或開始交易

於交易第二日及每月底收閱交易結單



KCG Securities Asia Limited

寶通證券亞洲有限公司

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Securities trading account opening & ordering procedures

Individual Client

- (1.) Fill-in account opening form (Cash account / Margin account / Internet account)and Risk disclosure statements
- (2.) Copy of Identification Card / Passport
- (3.) Copy of water / electricity bills etc.,for identification

Corporate Client

- (1.) Fill-in account opening form (Cash account / Margin account / Internet account) and Risk disclosure statements
- (2.) Board minute of maintaining a trading account with KCG Securities Asia Ltd and authorised signatory(ies) for order placing
- (3.) Memorandum and Articles of Association
- (4.) Latest Company's annual report
- (5.) Copy of Business Registration
- (6.) Copy of Certificate of Incorporation
- (7.) Copy of Register of Director
- (8.) Copy of Register of Members
- (9.) Copy of HK Identification Card / Passport of authorized person
- (10.) Latest Company's audit report
- (11.) Personal guarantee letter

* Certified true copy is required

* The Company must be registered outside Mainland China

Information-checking

Approved

Disapproved

4. Registered clients' application
5. Issuing a client card
6. Released the telephone number for order placing / personal code for Internet trading

Clients' deposit required

Internet trading

Non- Internet trading

Login www.kcgmarket.com ;

To access name and code ;

Stock quotation / starts to trade.

(1.) To place orders through telephone ;

(2.) Giving account number and name ;

(3.) Giving trading orders .

Trading statement will be issued in the next day and the end of each month .