



Settlement Instruction 證券交收指示 (S.I.)

致:寶通證券亞洲有限公司

To: KCG Securities Asia Ltd

日期 Date: _____

客戶名稱 Account Name : _____ 客戶賬號 Account No.: _____

<input type="checkbox"/> 收到 Received from	請將下列證券收到本人/吾等上述證券賬戶 Please receive the following securities for my /our above securities account
<input type="checkbox"/> 交付 Deliver to	請從本人/吾等上述證券賬戶交付證券 Please deliver the following securities from my/our above securities account

並將下列交收指示於(日期)_____輸入中央結算系統，以便進行交收
and input the following Settlement Instruction (SI) into CCASS to effect settlement on (date)_____.

交收指示資料 Details for Settlement Instruction

交收公司名稱 Name of Counter-party :	中央結算編號 CCASS ID No.	For office use only	
		Purpose	
		A/C No.	
聯絡人姓名 Contact Person	電話 Phone No	Authorized	
		Input Date	

有關股票資料如下 Details of share(s)*請在空格上加 X (只適用於交收指示或投資者交收指示), Please put a tick in the box (for SI/ISI only)

DVP = 實銀對付 Delivery Versus Payment, FOP = 無需付款 Free of payment.

股票編號 Stock Code	股票名稱 Stock Name	股數 Quantity	付款 Payment by*		銀碼 Amount (HKD)
			<input type="checkbox"/> DVP	<input type="checkbox"/> FOP	
			<input type="checkbox"/> DVP	<input type="checkbox"/> FOP	
			<input type="checkbox"/> DVP	<input type="checkbox"/> FOP	
			<input type="checkbox"/> DVP	<input type="checkbox"/> FOP	

For and on behalf of
KCG Securities Asia Limited

Client(s)' Signatures 客戶簽署

Authorized Signatures 授權簽署

Signature verified & Input by:	Checked by:	Approved by:
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